

January 15, 2010  
ELXNTP-REG-10004

**CLARIFYING AMENDMENTS TO ELX RULE VI-10**

Please be advised that ELX Futures, L.P. (“ELX Futures”) has amended Rule VI-10 Responsibility for Procedures to Assure Compliance by Authorized Customers, Authorized Traders and Supervised Persons.

The amendments to Rule VI-10 make reference to the rules governing transfer (Rule IV-14), block (Rule IV-15) and EFRP (Rule IV-16) transactions in order to clarify that such transactions are covered by the rule.

The amended rule will be effective on trade date January 19, 2010.

The text of the revised rules with revisions highlighted is provided as an attachment to this notice.

**Please contact ELX Support at 877-359-6610/212-294-7771 or via e-mail at [support@elxfutures.com](mailto:support@elxfutures.com) with any questions.**

**TEXT OF RULE AMENDMENTS**

\_\_\_\_\_ = additions and ~~striethrough~~ = deletions

**VI-10 Responsibility for Procedures to Assure Compliance by Authorized Customers, Authorized Traders and Supervised Persons**

A Participant shall be responsible for establishing, maintaining and administering reasonable procedures to ensure that its Authorized Customers, Authorized Traders and Supervised Persons comply with Applicable Law, the Rules of the Exchange and the Rules of the Clearinghouse pertaining to their trading on the Exchange which includes any transactions done as per section IV-14, IV-15 and IV-16 of these rules, and may be held accountable for the actions of such Persons.